P3 - PERFORMANCE PARTNERSHIP PILOTS FOR DISCONNECTED YOUTH

Round 2 Notice Inviting Applications:
Bidders Conference

Date: May 9, 2016
Time: 1:00 p.m. EDT

Presented by:
U.S. Departments of Education, Health and Human Services, Housing and Urban Development, Justice and Labor
Corporation for National and Community Service
Institute of Museum and Library Services

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MICHELLE BOYD
Social Science Analyst, Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services

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BACKGROUND AND CONTEXT FOR P3

- **Johan Uvin**, U.S. Department of Education

- **Demetra Nightingale**, U.S. Department of Labor

RESTORING THE PROMISE OF OPPORTUNITY FOR ALL

- Creating a clearer path to postsecondary education and careers

- More than 5 million disconnected 14-24 year olds in U.S.

- Significant challenges hinder meaningful improvements to education, employment, health and well-being

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PROMOTING COMMUNITY-DRIVEN, EVIDENCE-BASED SOLUTIONS

- One of several Obama Administration initiatives that seek to address critical social challenges through community-driven, evidence-based strategies:
  - Promise Zones
  - Job-Driven Training
  - Federal Innovation Funds
  - Pay for Success

STRENGTHENING TRIBAL COMMUNITIES

- President Obama also is committed to strengthening the nation to nation relationship with Indian tribes as well as strengthening broader tribal communities

- 2009 Presidential Memorandum on Tribal Consultation issues to agency heads

- 2011 Executive Order - White House Initiative on American Indian & Alaska Native Education

TESTING INNOVATIVE, OUTCOME-FOCUSED STRATEGIES

- Test the hypothesis that additional flexibility for States, localities, and tribes, in the form of blending funds and obtaining waivers of certain programmatic requirements, can help overcome some of the significant hurdles that States, localities, and tribes may face in improving outcomes for disconnected youth.

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TOPICS FOR DISCUSSION

- Timeline

- Overview

- Eligible applicants

- Eligible programs

- Absolute priorities

- Competitive preference priorities

- Invitational priorities

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PRESENTERS

- **Sanzanna Dean**, U.S. Department of Justice

- **Teresa (Teri) DeVoe**, Institute of Museum and Library Services

- **Charndrea Leonard**, Corporation for National and Community Service

SANZANNA DEAN
Senior Policy Advisor, Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, U.S. Department of Justice

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TIMELINE

- Notice inviting applications published on Tuesday, April 26, 2016

- Deadline for notice of intent to apply (optional) is Thursday, May 26, 2016

- Deadline for submitting applications is Monday, June 27, 2016

OVERVIEW: SUMMARY

- Up to 10 pilots will receive start-up funds to implement the pilot activities with their partners that:
  - Blend discretionary funds to improve performance and/or waive certain statutory, regulatory, or administrative requirements
  - And include at least two programs targeting disconnected youth

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OVERVIEW: DISCONNECTED YOUTH

- Individuals between the ages of 14 and 24
    - Who are low-income, and
    - Either homeless, in foster care, involved in the juvenile justice system, unemployed, or not enrolled in or at risk of dropping out of an educational institution

OVERVIEW: BLENDING FUNDS

- Blended funding merges two or more funding streams, or portions of multiple funding streams, to produce greater efficiency and/or effectiveness

- Funds from each individual stream lose their award-specific identity

- The blended funds together become subject to a single set of reporting and other requirements, consistent with the underlying purposes of the programs for which the funds were appropriated

OVERVIEW: BLENDING FUNDS BY ROUND

ROUND 2

Fiscal Year	Agencies' Funds Eligible for Blending
FY 2015	ED, HHS, DOL, CNCS, IMLS
FY 2016	ED, HHS, DOL, CNCS, IMLS *and* DOJ

ROUND 3

Fiscal Year	Agencies' Funds Eligible for Blending
FY 2016	ED, HHS, DOL, CNCS, IMLS, *and* DOJ, HUD

Note: Only funds awarded through DOJ's Office of Justice Programs and HUD's Homeless Assistance Grants are eligible for blending and waivers.

OVERVIEW: PROGRAMS

- Pilots must include at least two programs:
    - Targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training, employment, and other related social services
    - Administered by U.S. Departments of Education, Health and Human Services, Justice and Labor; the Corporation for National and Community Service; and/or the Institute of Museum and Library Services
    - At least one of which is administered (in whole or in part) by a State, local, or tribal government

OVERVIEW: WAIVERS

- In addition to existing waiver authority, agencies also may waive any statutory, regulatory, or administrative requirements that they are otherwise not authorized to waive, in keeping with important safeguards.

- Waivers must:
  - Be consistent with the statutory purposes of the relevant Federal program(s).
  - Be necessary—and no broader in scope than necessary-- to achieve pilot outcomes.
  - Result in either efficiencies or increased ability of individuals to obtain access to services.

Refer to FAQs, Section C, "Waivers"

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OVERVIEW: WAIVERS (CONTINUED)

- Agencies cannot waive requirements related to:
  - Nondiscrimination
  - Wage and labor standards
  - The allocation of funds to State and sub-State levels

- Federal Agency heads must determine that the Agency's participation and the use of proposed program funds meet requirements related to:
  - Not denying or restricting individual eligibility
  - Not adversely affecting vulnerable populations

Refer to FAQs, Section C, "Waivers"

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OVERVIEW: START-UP GRANT FUNDS

- Pilots will also receive start-up grant funds
  - Awards may range from $250,000 to $350,000

- Awards should support effective implementation
  - Examples of uses: planning, governance, technical assistance, evaluation, data collection, capacity-building, and coordination activities

Refer to FAQs C-6, J-2, and K-1

OVERVIEW: PERFORMANCE AGREEMENTS

- Each pilot will be governed by a performance agreement between a lead Federal agency and the respective representatives of all of the State, local, or tribal governments participating in the pilot

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TERESA (TERI) DEVOE
Senior Program Officer, Institute of Museum and Library Services

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ELIGIBLE APPLICANTS: LEAD APPLICANT

- State, local, or tribal government entity

  - Represented by chief executive of the entity (e.g., governor, mayor) or the head of a State, local, or tribal entity

- Private nonprofit organizations are not eligible, but may play a role in the partnership

Refer to FAQs, Section B, "Eligibility"

ELIGIBLE PROGRAMS

- The participating Federal Agencies have identified programs that may be eligible to be blended under a P3 pilot

- At the same time that funds are blended and pilots are given new flexibilities, pilots must protect vulnerable populations and individuals

- Some programs may introduce greater likelihood of adversely affecting vulnerable populations

  - The Federal Agencies have identified three categories of risk and specific examples of the types of programs in each category

  - Refer to the lists in the application instructions on Grants.gov

    Refer to FAQs C-2, C-3, and D-1

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ABSOLUTE PRIORITIES

- The competition has four absolute priorities:

  - Absolute Priority 1: Improving Outcomes for Disconnected Youth

  - Absolute Priority 2: Improving Outcomes for Disconnected Youth in Rural Communities

  - Absolute Priority 3: Improving Outcomes for Disconnected Youth in Tribal Communities

  - Absolute Priority 4: Improving Outcomes for Disconnected Youth in Communities that Have Recently Experienced Civil Unrest

- Each of the absolute priorities constitutes its own funding category, and the Federal Agencies hope to award grants under each category for which applications of sufficient quality are submitted

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ABSOLUTE PRIORITY 1

- Improving Outcomes for Disconnected Youth
  - Applicants that will not serve youth in the communities identified in Absolute Priorities 2, 3, or 4 must apply under Absolute Priority 1

ABSOLUTE PRIORITY 2

- Improving Outcomes for Disconnected Youth in Rural Communities
  - An applicant is eligible if it proposes to serve disconnected youth in rural communities <u>only</u>
- A rural community is a community that:
  - is served *only* by one or more local educational agencies (LEA) that are currently eligible under the U.S. Department of Education's Small Rural School Achievement (SRSA) program or the Rural and Low-Income School (RLIS) program, or
  - includes only schools designated by the National Center for Education Statistics with a locale code of 42 or 43

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ABSOLUTE PRIORITY 2 (CONTINUED)

- Improving Outcomes for Disconnected Youth in Rural Communities
  - An applicant should include the following information:
    - A list of the communities it proposes to serve
    - A list of the LEAs that serve each of the communities it proposes to serve

- Involvement in a pilot by an LEA or school is not a requirement to participate in P3

ABSOLUTE PRIORITY 3

- Improving Outcomes for Disconnected Youth in Tribal Communities
  - An applicant is eligible if it proposes to serve disconnected youth in one or more Indian tribes and its application is submitted by a partnership that includes one or more Indian tribes
  - Applicants should clearly indicate in their applications the Indian tribe(s) they propose to serve

ABSOLUTE PRIORITY 4

- Improving Outcomes for Disconnected Youth in Communities that Have Recently Experienced Civil Unrest
  - Applicants should describe the instance or instances of civil unrest, including:
    - a description of the civil unrest that occurred in the community or communities it intends to serve
    - the date or dates the civil unrest occurred
  - The NIA does not include a definition of "civil unrest," but identifies several examples of what might be considered "civil unrest"

Refer to FAQs M-4 and M-5

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COMPETITIVE PREFERENCE PRIORITIES 1 AND 2

- The competition has four competitive preference priorities:

  - Competitive Preference Priority 1 (5 points): Projects that:

    - Serve those disconnected youth who are neither employed nor enrolled in education and who also face significant barriers to accessing education and employment, and

    - Are likely to result in significantly better educational or employment outcomes for such youth

  - Competitive Preference Priority 2 (3 points): Projects that:

    - Provide all disconnected youth who will be served by the project with paid work-based learning opportunities

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COMPETITIVE PREFERENCE PRIORITY 3

- The competition has four competitive preference priorities:

  - Competitive Preference Priority 3 (2 points): Projects that:

    - Are designed to serve and coordinate with a federally designated Promise Zone

COMPETITIVE PREFERENCE PRIORITY 3 (CONTINUED)

- Must provide a HUD Form 50153 (Certification of Consistency with Promise Zone Goals and Implementation) that has been signed by an authorized Promise Zone official

- Applicants may find a listing of designated Promise Zones and their lead agencies by referring to:
  - http://hud.gov/promisezones

COMPETITIVE PREFERENCE PRIORITY 4

- The competition has four competitive preference priorities:

  - Competitive Preference Priority 4 (10 points): Applicants that:

    - Plan to conduct independent impact evaluations of at least one service-delivery or operational component of their pilots (site-specific evaluation), in addition to participating in any national P3 evaluation

Refer to FAQs, Section I, "Evaluation"

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INVITATIONAL PRIORITIES

- The competition has two invitational priorities:

  - Invitational Priority 1: Projects that:

    - Serve disconnected youth who are homeless, and

    - Are likely to result in significantly better educational or employment outcomes for such youth

  - Invitational Priority 2: Projects that:

    - Serve disconnected youth who are involved in the justice system, and

    - Are likely to result in significantly better educational or employment outcomes for such youth

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CHARNDREA LEONARD

Senior Program Officer, Office of AmeriCorps State and National, Corporation for National and Community Service

APPLICATION REQUIREMENTS AND SELECTION CRITERIA

- The Round 1 and Round 2 Notices Inviting Applications' application requirements and selection criteria differ

APPLICATION NARRATIVE

- The application narrative is where applicants respond to the seven application requirements and the related selection criteria

- We instruct applicants to limit the length of your project narrative to 45 pages

APPLICATION REQUIREMENT (A): EXECUTIVE SUMMARY

- The executive summary should briefly describe:
  - The proposed pilot
  - The flexibilities being sought, and
  - The interventions or systems changes that would be implemented by the applicant and its partners

- The statement of need for a defined target population (using Table 1):
  - Specify the target population(s) for the pilot, including the age range of youth who will be served and the estimated number of youth who will be served over the course of the pilot

APPLICATION REQUIREMENT (C): FLEXIBILITY

- Identify federal flexibilities sought (using Table 2):
  - Identify specific Federal statutory, regulatory, or other requirements for which applicant is seeking flexibility
- Examples: ability to blend funds, changes to eligibility requirements, allowable uses of funds, and performance reporting

Refer to FAQs C-1, C-2, C-3, and C-4

APPLICATION REQUIREMENT (C): FLEXIBILITY (CONTINUED)

- Identify non-federal flexibilities:
  - Specific State, local, or tribal policies, regulations, or other requirements for which flexibility may be needed

- Provide written assurance:
  - State, local, or tribal government(s) has approved or will approve requested flexibility within 60 days of designation as a pilot finalist, or
  - Non-Federal flexibility is not needed to implement the pilot

Refer to FAQs C-1, C-2, C-3, and C-4

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APPLICATION REQUIREMENT (D): LOGIC MODEL

- Provide graphic depiction of pilot's logic model
    - Illustrates underlying theory of how the pilot's strategy will produce intended outcomes
    - Not longer than one page

Refer to FAQ F-1

APPLICATION REQUIREMENT (E): PARTNERSHIP CAPACITY AND MANAGEMENT

- Identify proposed partners (using Table 3)

- Provide assurance of partners' commitment, such as an MOU or letter of commitment, describing:

  - Each partner's commitment, including financial or in-kind resources (if any)

Refer to FAQ B-2 and B-4

APPLICATION REQUIREMENT (F):
DATA AND PERFORMANCE MANAGEMENT CAPACITY

- Propose outcome measures and interim indicators (using Table 4):
    - At least one outcome measure must be in the domain of education, and at least one measure must be in the domain of employment
    - At least one interim indicator for each outcome measure
- For each outcome measure and indicator:
    - Indicate the source of the data, the proposed frequency of collection, and the methodology used to collect the data

Refer to FAQ G-1

APPLICATION REQUIREMENT (G): BUDGET & BUDGET NARRATIVE

- Provide budget information (using Table 5):
  - For each Federal program:
    - the grantee
    - the amount of funds to be blended or braided
    - the percentage of total program funding received by the grantee that the amount to be blended or braided represents
    - the Federal fiscal year of the award
    - whether the grant has already been awarded
  - The total amount of funds from all Federal programs that would be blended or braided under the pilot

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SELECTION CRITERION (A): NEED FOR THE PROJECT (5 POINTS)

- Consider the magnitude of the need of the target population, as evidenced by the applicant's analysis of data:

  - Comprehensive needs assessment conducted or updated within the past 3 years using representative data on youth from the jurisdiction(s) proposing the pilot

  - Encourage disaggregation of data according to relevant demographic factors

Refer to FAQ E-1

SELECTION CRITERION (B): NEED FOR REQUESTED FLEXIBILITY (20 POINTS TOTAL)

- Consider the strength and clarity of the applicant's justification that each of the specified Federal requirements for which the applicant is seeking flexibility hinders implementation of the proposed pilot (10 points)

Refer to FAQs C-1, C-2, C-3, and C-4

SELECTION CRITERION (B): NEED FOR REQUESTED FLEXIBILITY (CONTINUED) (20 POINTS TOTAL)

- Consider the strength and quality of the applicant's justification of how each request for flexibility will increase efficiency or access to services and produce significantly better outcomes for the target population(s) (10 points total)

Refer to FAQs C-1, C-2, C-3, and C-4

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SELECTION CRITERION (C): PROJECT DESIGN (20 POINTS TOTAL)

- Consider the strength and logic of the proposed project design in addressing the gaps and the disparities identified in the response to Selection Criterion (a) (Need for Project) and the barriers identified in the response to Selection Criterion (b) (Need for Requested Flexibility) (10 points)

Refer to FAQ F-1

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SELECTION CRITERION (C): PROJECT DESIGN (CONTINUED) (20 POINTS TOTAL)

- Consider the strength of the evidence supporting the pilot design and whether the applicant proposes the effective use of interventions based on evidence and evidence-informed interventions (5 points)

Refer to FAQ H-1

SELECTION CRITERION (C): PROJECT DESIGN (CONTINUED) (20 POINTS TOTAL)

- Consider the strength of the applicant's evidence that the project design, including any protections and safeguards that will be established, ensures that the consequences or impacts of the changes from current practices in serving youth through the proposed funding stream (5 points):

  - Will not result in denying or restricting the eligibility of individuals for services that (in whole or in part) are otherwise funded by these programs

  - Based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of those services

SELECTION CRITERION (D): WORK PLAN AND PROJECT MANAGEMENT (10 POINTS)

- Consider the strength and completeness of the work plan and project management approach and their likelihood of achieving the objectives of the proposed project on time and within budget:
    - Clearly defined and appropriate responsibilities, timelines, and milestones for accomplishing project tasks
    - The qualifications of project personnel to ensure proper management of all project activities
    - How any existing or anticipated barriers to implementation will be overcome

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SELECTION CRITERION (E): PARTNERSHIP CAPACITY (15 POINTS TOTAL)

- Consider how well the applicant demonstrates that it has an effective governance structure in which:
  - Partners that are necessary to implement the pilot successfully are represented
  - Partners have the necessary authority, resources, expertise, and incentives to achieve the pilot's goals and resolve unforeseen issues (10 points)

SELECTION CRITERION (E): PARTNERSHIP CAPACITY (CONTINUED) (15 POINTS TOTAL)

- Consider how well the applicant demonstrates that its proposal was designed with substantive input from all relevant stakeholders, including disconnected youth and other community partners (5 points)

SELECTION CRITERION (F): DATA AND PERFORMANCE MANAGEMENT CAPACITY (25 POINTS TOTAL)

- Consider the applicant's capacity to collect, analyze, and use data for decision-making, learning, continuous improvement, and accountability, and the strength of the applicant's plan to bridge any gaps in its ability to do so (10 points)

  - Extent to which the applicant and partner organizations have tracked and shared data about program participants, services, and outcomes, including the execution of data-sharing agreements that comport with Federal, State, and other privacy laws and requirements, and will continue to do so

SELECTION CRITERION (F): DATA AND PERFORMANCE MANAGEMENT CAPACITY (CONTINUED) (25 POINTS TOTAL)

- Consider how well the proposed outcome measures, interim indicators, and measurement methodologies specified appropriately and sufficiently gauge results achieved for the target population under the pilot (10 points)

- Consider how well the data sources specified can be appropriately accessed and used to reliably measure the proposed outcome measures and interim indicators (5 points)

SELECTION CRITERION (G): BUDGET AND BUDGET NARRATIVE (5 POINTS)

- Consider the appropriateness of expenses within the budget with regards to cost and to implementing the pilot successfully

PROGRAM REQUIREMENTS

- Participate fully in any federally sponsored evaluation activity, including the national evaluation
  - Acknowledge responsibilities related to the federal evaluation (use form in Appendix A of the notice)
- Participate in a community of practice that includes an annual in-person meeting (must be reflected in budget) and virtual peer-to-peer learning activities
- Explain how applicant will ensure compliance with Federal, State, local, and tribal privacy laws and secure necessary consent in accordance with applicable Federal, State, local, and tribal laws
- Enter into a performance agreement that will govern implementation of the pilot

Refer to FAQ K-1

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REVIEW AND SELECTION PROCESS: REVIEW

- Reviewers with expertise on issues related to improving outcomes for disconnected youth will score the selection criteria

  - Reviewers with expertise in evaluation will score Competitive Preference Priority 4

- Scoring rubric:

Maximum point value	Quality of applicant's response		
	Low	Medium	High
10	0-2	3-7	8-10
5	0-1	2-3	4-5

REVIEW AND SELECTION PROCESS: REVIEW (CONTINUED)

- Applications will be rank ordered based on their total scores
- Agency officials will evaluate whether the flexibility, including waivers being sought by the top-scoring applicants meet the statutory requirements for P3 and are otherwise appropriate
- Applicants may be asked to participate in an interview to clarify aspects of proposals

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REVIEW AND SELECTION PROCESS: SELECTION

- Federal agency officials may recommend up to 10 projects

- If a performance agreement cannot be negotiated, an alternative project may be selected

FOR ADDITIONAL INFORMATION

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Marilyn Fountain
U.S. Department of Education
Phone: (202) 245-7346

Rosanne Andre
U.S. Department of Education
Phone: (202) 245-7789

Email: disconnectedyouth@ed.gov

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Thank You!